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## Journal of Macromolecular Science, Part A

Publication details, including instructions for authors and subscription information:

<http://www.informaworld.com/smpp/title~content=t713597274>

### Carbocationic Polymerization in the Presence of Sterically Hindered Bases. VIII. High Efficiency Grafting of Poly( $\alpha$ -methylstyrene) Branches from Chlorobutyl and Polychloroprene Rubbers in the Presence of Proton Traps

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**To cite this Article** Kennedy, Joseph P. and Guhaniyogi, Suhas C.(1982) 'Carbocationic Polymerization in the Presence of Sterically Hindered Bases. VIII. High Efficiency Grafting of Poly( $\alpha$ -methylstyrene) Branches from Chlorobutyl and Polychloroprene Rubbers in the Presence of Proton Traps', *Journal of Macromolecular Science, Part A*, 18: 1, 103 – 117

**To link to this Article:** DOI: 10.1080/00222338208056661

**URL:** <http://dx.doi.org/10.1080/00222338208056661>

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## Carbocationic Polymerization in the Presence of Sterically Hindered Bases. VIII. High Efficiency Grafting of Poly( $\alpha$ -methylstyrene) Branches from Chlorobutyl and Polychloroprene Rubbers in the Presence of Proton Traps

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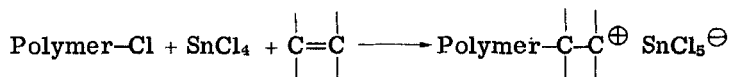
### ABSTRACT

The syntheses of chlorobutyl rubber-g-poly( $\alpha$ -methylstyrene) and poly(chloroprene-g- $\alpha$ -methylstyrene) have been accomplished with 90-97% grafting efficiencies. The synthesis principle involves the initiation of  $\alpha$ -methylstyrene polymerization by chlorobutyl rubber or polychloroprene rubber in conjunction with  $\text{BCl}_3$  or  $\text{SnCl}_4$  coinicators in the presence of 2,6-di-*t*-butylpyridine proton trap. Selective solvent extraction coupled with molecular weight determination and molecular size distribution indicate satisfactory separation procedures and reliable grafting efficiency data. The close agreement between theoretical and experimental graft copolymer molecular weights suggests that backbone scission or gelation does not occur during grafting. According to graft composition and molecular weight data, 6 to 11 poly( $\alpha$ -methylstyrene) branches have been attached per chlorobutyl or polychloroprene rubber backbones.

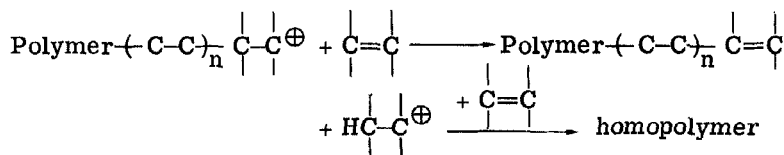
## INTRODUCTION

As described in the previous papers of this series of publications [1] concerning the discovery of proton trapping during carbocationic polymerizations and elucidation of the mechanism of proton entrapment, certain nonnucleophilic hindered bases, e.g., 2,6-di-*tert*-butylpyridine (DtBP), are able to intercept the proton (or protonlike species) emerging during chain transfer in these polymerizations and thus lead to a variety of most remarkable phenomena. Depending on the nature of the particular system used, i.e., monomer, Friedel-Crafts acid coinitiator, solvent, and concentration range of these ingredients, the molecular weights may increase or decrease, the molecular weight dispersions may narrow or broaden, and the rates may accelerate or decelerate in the presence of DtBP. Extensive investigations have shown that the effects are system-specific, and generalization from one initiator/coinitiator/monomer/solvent system to another should not be made. However, whatever the specific phenomenon under investigation, the effect of DtBP on polymerization details could always be explained by the concept of terminative proton entrapment during chain transfer to monomer.

In the course of these fundamental investigations the thought occurred to us that proton entrapment by DtBP could be exploited in the field of cationic block and graft copolymerizations. It is well known [2] that carbocationic grafting is extremely inefficient in the presence of conventional Friedel-Crafts acids like  $\text{BF}_3$ ,  $\text{SnCl}_4$ ,  $\text{TiCl}_4$ , and  $\text{AlCl}_3$ , and attempts at grafting cationically polymerizable monomers (e.g., isobutylene) from halogenated backbones (e.g., chlorobutyl rubber, PVC) by these common Lewis acids yield far more homopolymer than grafted polymer. Evidently these coinitiators rapidly initiate cationic homopolymerizations in the presence of subanalytical amounts of protic impurities, and only few branches can arise because the desirable graft initiation step, e.g.,



is relatively slow. The inefficiency of this grafting route is further aggravated by the fact that even the few branches that can be generated in this manner will lead to homopolymer by inevitable chain transfer to monomer, i.e., by proton loss from the propagating cation coupled by proton capture of the incoming monomer:



Invariably, the amount of true graft (or block) copolymer formed in such experiments must remain negligible.

We theorized that the above undesirable reactions leading to massive homopolymer formation could be avoided and high grafting efficiencies could be achieved by conducting graftings in the essential absence of moisture and in the presence of DtBP proton trap. This paper concerns an experimental exploration of this idea; specifically, the grafting of  $\alpha$ -methylstyrene ( $\alpha$ -MeSt) from chlorobutyl rubber (Cl-IIR) and polychloroprene rubber (CR) in the (absence and) presence of DtBP, and a demonstration that virtually complete graftings can be obtained under routine laboratory conditions by the use of DtBP.

### EXPERIMENTAL

$\alpha$ MeSt (Aldrich, 99%) was purified by removing the inhibitor, refluxing over CaH<sub>2</sub>, and distilling under dry nitrogen. The middle cut was dried over a shiny Na-film under vacuum, distilled, dried again over a shiny Na-film, sealed in ampules with calibrated breakseals, and stored at -30°C in the dark. DtBP (Chemical Samples, Co., 99%) was dried over dry BaO under vacuum and distilled. SnCl<sub>4</sub> (Alfa, Ultrapure) was purified according to Overberger [3]. It was further treated several times with anhydrous P<sub>2</sub>O<sub>5</sub> under vacuum, distilled, and the middle fraction was taken. BCl<sub>3</sub> (Linde, Specialty Grade) was dried over a series of Na-films. CH<sub>2</sub>Cl<sub>2</sub> (Eastman Kodak, Reagent Grade) was refluxed with Et<sub>3</sub>Al under dry nitrogen and distilled. The middle fraction of the distillate was transferred to the vacuum line where it was dried over BaO and a series of shiny Na-films before use. Methylcyclohexane (Eastman Kodak, Reagent Grade) was refluxed with fuming sulfuric acid, washed till neutral, dried, and distilled under nitrogen. The middle fraction was further dried over shiny Na-films under vacuum. The solutions of DtBP, SnCl<sub>4</sub>, and BCl<sub>3</sub> were prepared with CH<sub>2</sub>Cl<sub>2</sub> under vacuum and sealed into ampules with calibrated breakseals. The materials were stored in the dark at -30°C.

Purification of CR (Neoprene W, E. I. du Pont de Nemours and Co.) and Cl-IIR (Enjay HT-1066, Exxon Chemicals Co.) has been described [4, 5].

### PROCEDURES

Graft Copolymerization. Ampules equipped with breakseals and magnetically activable hammers containing the SnCl<sub>4</sub> or BCl<sub>3</sub> coinitiator, DtBP,  $\alpha$ MeSt, and methanol were connected to a 5-arm reaction vessel (150 mL capacity) sealed to the high vacuum apparatus. The chlorinated rubber initiators Cl-IIR or CR were

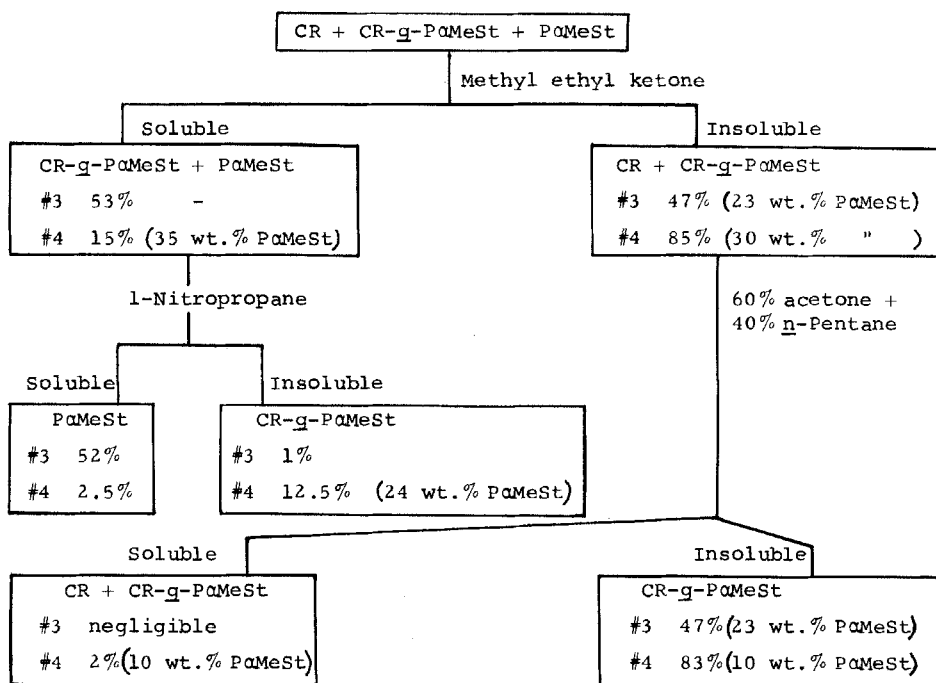


FIG. 1. Selective solvent extraction scheme used in experiments with polychloroprene rubber (MEK Method). The numbers in the boxes refer to experiments summarized in Tables 1 and 2.

introduced into the reactor via the 5th arm. The rubbers were dried under high vacuum ( $10^{-7}$  mmHg) for 24 h. The whole assembly was covered with aluminum foil to exclude light.  $\alpha$ MeSt was kept at  $0^{\circ}\text{C}$  during these manipulations. The solvents were introduced into the reaction vessel and after a few freeze-thaw cycles the reactor was sealed under vacuum and removed from the vacuum line. The reactor was equilibrated at  $-50 \pm 1^{\circ}\text{C}$  and after the rubber had dissolved,  $\alpha$ MeSt and DtBP solutions were added. The charge was mixed and the polymerization was triggered by introducing the coinitiator and thoroughly mixing the reactants. After suitable times at  $-50^{\circ}$ , during which period the homogeneous charges were frequently agitated, graftings were terminated by adding methanol through the shattered breakseal. The reactor was brought to room temperature, the glass arms were filed and broken off, and the clear, homogeneous charges were filtered to remove glass pieces. Grafts were precipitated into methanol and dried in vacuum ( $20^{\circ}\text{C}$ , with occasional dry nitrogen purging) to constant weight.

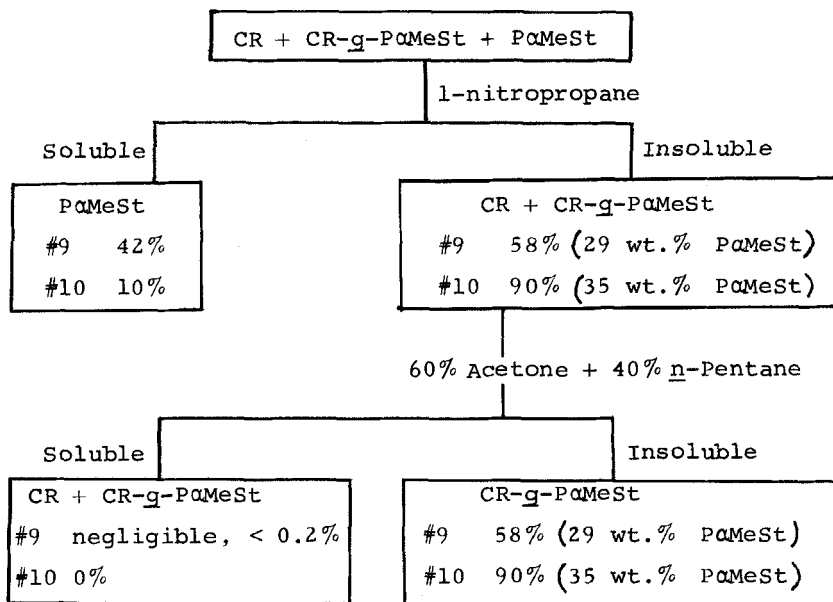


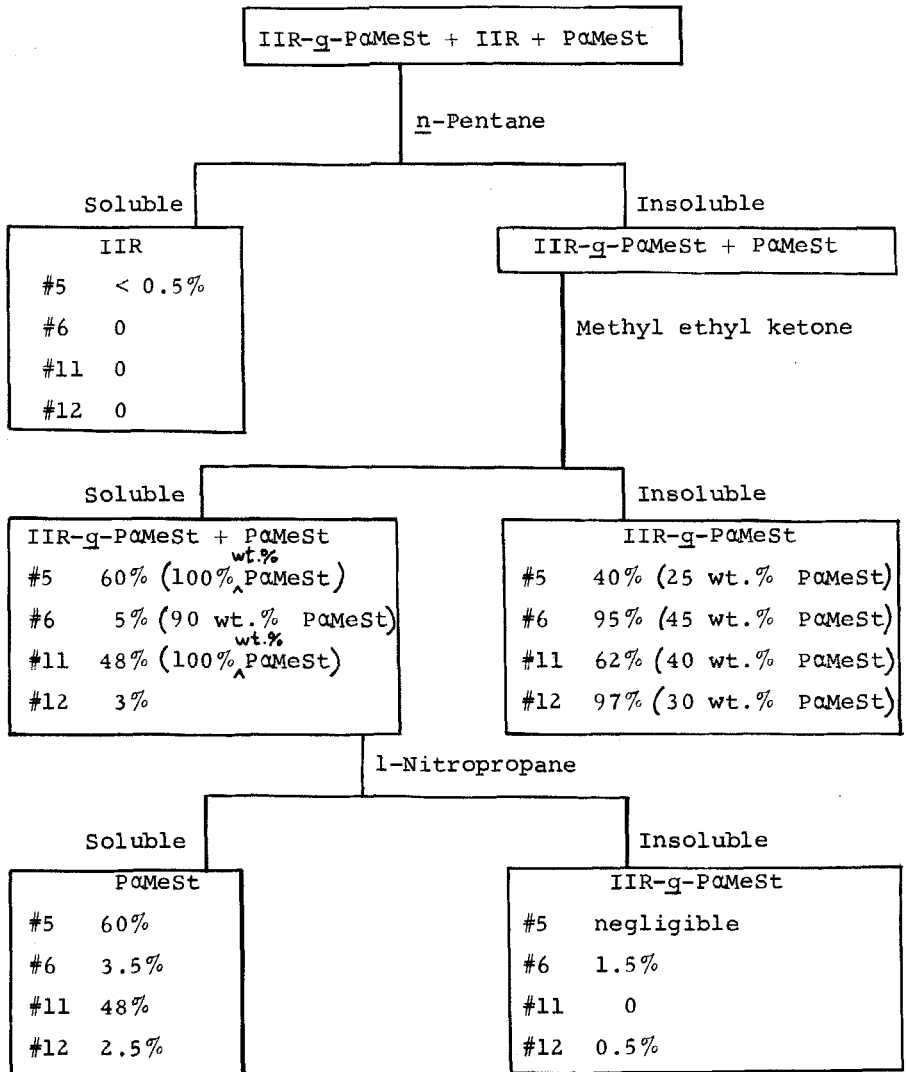
FIG. 2. Selective solvent extraction scheme used in experiments with polychloroprene rubber (1-Nitropropane Method). The numbers in the boxes refer to experiments summarized in Tables 1 and 2.

Molecular weights were determined using a Hewlett Packard 503 High Speed Membrane Osmometer with toluene at 37°C. GPC analyses were carried out with a Waters Model 6000A high pressure pump and a R401 differential refractometer detector. A series of Styragel columns (pore sizes: 500, 10<sup>3</sup>, 10<sup>4</sup>, 10<sup>5</sup>, and 10<sup>6</sup> Å) were used. Flow rate of THF solutions was 2 mL/min.

**Graft Separation, Purification.** The crude products of graft copolymerizations were subjected to a series of selective extractions to determine the individual components obtained.

The procedures outlined in Figs. 1 to 3 were developed for the characterization and analysis of products obtained in experiments with CR and Cl-IIR initiators. The schemes are self-explanatory. The amounts of the individual fractions obtained (wt% by gravimetry) and their PaMeSt contents (wt% by NMR) are shown. 1-Nitropropane was found to be an excellent selective solvent for PaMeSt, see Fig. 2. PaMeSt of  $\bar{M}_n \approx 5 \times 10^4$  was found to be soluble after agitating with

1-nitropropane for 20 h whereas Cl-IIR and CR were completely insoluble. IIR in Fig. 3 denotes butyl rubber (isobutylene-isoprene rubber); this abbreviation is meant to indicate that the Cl atom in Cl-IIR has been lost during grafting.



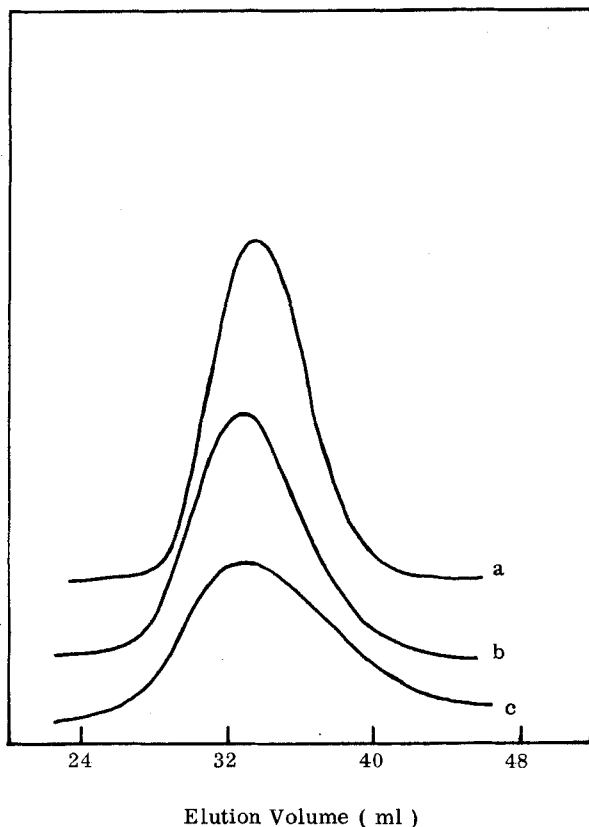


FIG. 4. Gel permeation chromatograms of CR and CR-g-P $\alpha$ MeSt (nonnormalized): (a) pure CR; (b) and (c) pure CR-g-P $\alpha$ MeSt obtained from Experiments 4 and 10, respectively.

**Characterization.** The composition of the various fractions obtained by selective solvent extractions was determined by  $^1\text{H}$  NMR spectroscopy using a Varian T-60 instrument.

Grafting efficiency is expressed by  $G_{\text{eff}} = G_{\text{P}\alpha\text{MeSt}} / (G_{\text{P}\alpha\text{MeSt}} + H_{\text{P}\alpha\text{MeSt}})$  where  $G_{\text{P}\alpha\text{MeSt}}$  and  $H_{\text{P}\alpha\text{MeSt}}$  are the grafted- and homo-P $\alpha$ MeSt, respectively. The measure of branch frequency is the b/B ratio (b = branch, B = backbone) calculated by

$$\frac{b}{B} = \frac{\bar{M}_B X_b / 100}{\bar{M}_b (1 - X_b / 100)}$$



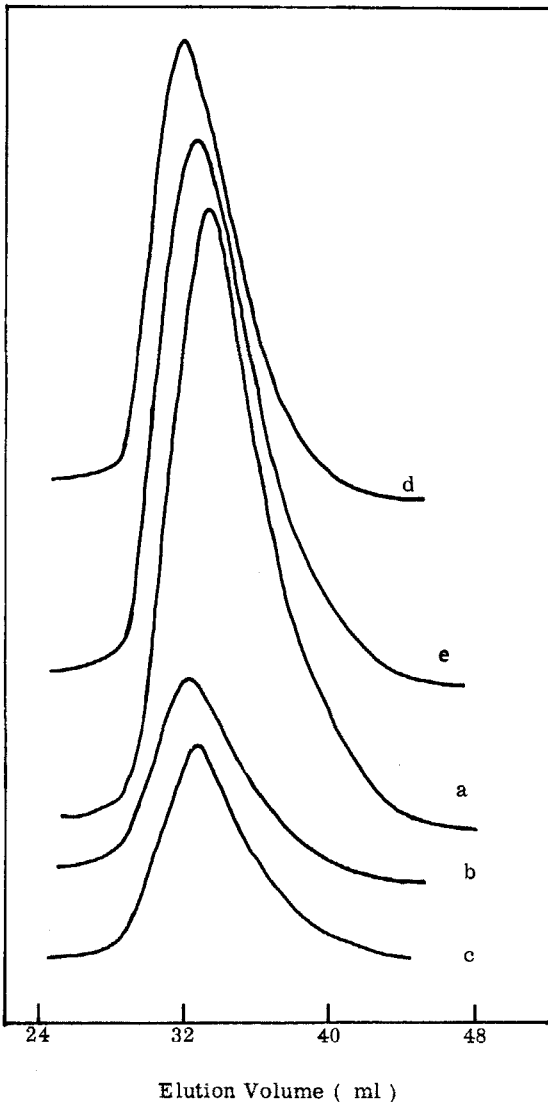


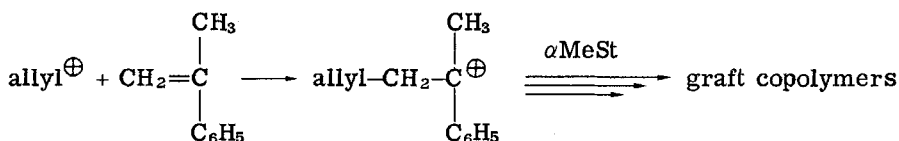
FIG. 5. Gel permeation chromatograms of Cl-IIR and IIR-g-P $\alpha$ MeSt (nonnormalized): (a) pure Cl-IIR; (b) and (c) crude IIR-g-P $\alpha$ MeSt obtained from Experiments 6 and 12, respectively; (d) and (e) pure IIR-g-P $\alpha$ MeSt from Experiments 6 and 12, respectively.

where  $\bar{M}_B$ ,  $\bar{M}_b$ , and  $X_b$  are the  $\bar{M}_n$  of backbone,  $\bar{M}_n$  of a branch, and wt% P $\alpha$ MeSt in the pure graft, respectively.  $\bar{M}_B$  has been determined directly by osmometry,  $\bar{M}_B$  of Cl-IIR = 150,000 and of CR = 140,000, whereas  $\bar{M}_b$  has been obtained indirectly by determining  $\bar{M}_n$ 's of P $\alpha$ MeSt's produced in graftings in the presence of DtBP and assuming that the  $\bar{M}_n$  of the free P $\alpha$ MeSt obtained by extraction is equal to the  $\bar{M}_n$  of P $\alpha$ MeSt branches. That this assumption provides correct  $\bar{M}_n$  estimates has been substantiated earlier by direct experiments in the similar poly(chloroprene-g-styrene) system [4].

The homogeneity of select fractions has been examined by GPC. Figures 4 and 5 show GPC traces of the "naked" backbones used and representative graft copolymers.

## RESULTS AND DISCUSSION

Previous experience in the area of graft copolymerization [2] dictated the particular system selected for this study. It has been amply demonstrated that both chlorinated butyl rubber [6] and poly-chloroprene [7, 8] contain a sufficient number of substituted allylic chlorines for efficient cationic grafting with alkylaluminum compounds. It was assumed that the same chlorines will also be effective graft-initiating sites with the conventional Lewis acids, SnCl<sub>4</sub> and BCl<sub>3</sub>, selected for these investigations. Figure 6 shows the overall structures of these rubbers, the allylic chlorines they are known to contain [6-8], and the allylic cations they would yield in the presence of conventional Lewis acid coinitiators such as SnCl<sub>4</sub> and BCl<sub>3</sub>. The substituted allylic carbocations arising along either the Cl-IIR or CR chains (allyl<sup>⊕</sup>) would be expected to induce the polymerization of  $\alpha$ MeSt and lead to P $\alpha$ MeSt branches:



In the absence of H<sub>2</sub>O, grafting could only start at the backbone carbenium ion, and homopolymer formation, at least by this process, could be avoided.

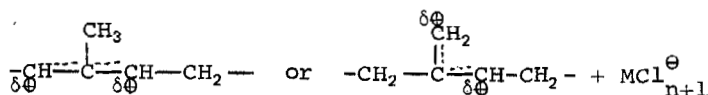
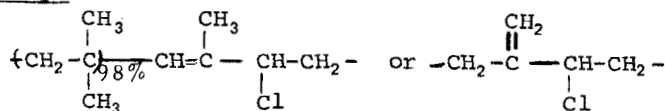
The second source of homopolymer could also be avoided in the presence of DtBP since the proton trap would intercept the proton emerging during chain transfer to monomer, a process known to occur during carbocationic growth of P $\alpha$ MeSt<sup>⊕</sup>:



(Proton loss may also occur from the  $-\text{CH}_2-$  group or may involve indane formation; however, the exact site of proton loss would not change the fundamentals.)

In view of these principles, grafting experiments have been carried out in the absence of moisture (under high vacuum conditions) by

### Chlorobutyl



### Polychloroprene

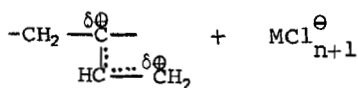
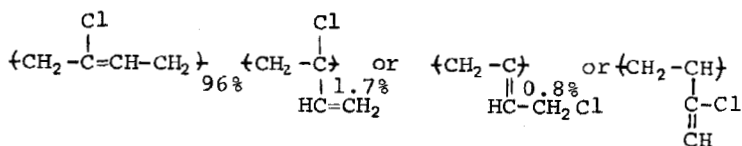


FIG. 6. Structural elements in chlorobutyl rubber and polychloroprene rubber that yield graft-initiating allylic cations.

TABLE 1. Syntheses of CR-g-P $\alpha$ MeSt and IIR-g-P $\alpha$ MeSt with SnCl<sub>4</sub> and BCl<sub>3</sub> in the Absence and Presence of DtBP at -50  $\pm$  1°C

No.	Polymer (g)	Solvents		Cointiator: mol $\times 10^4$	DtBP (mol $\times 10^4$ )	$\alpha$ MeSt (mol $\times 10^2$ )	Time (h)	$\alpha$ MeSt conver- tion (%)	Free P $\alpha$ MeSt (%)	G <sup>eff</sup> (%)
		CH <sub>2</sub> Cl <sub>2</sub> (mL)	MeCH (mL)							
1	-	100	-	SnCl <sub>4</sub> : 4.00	-	2.30	5	100	-	-
1a	-	100	-	SnCl <sub>4</sub> : 2.00	-	2.30	0.3	20	-	-
2	-	100	-	SnCl <sub>4</sub> : 4.00	1.00	2.30	5	3	-	-
3	CR: 0.85	90	10	SnCl <sub>4</sub> : 4.00	-	2.30	5	97	52.0	48.0
4	CR: 1.20	90	10	SnCl <sub>4</sub> : 5.34	1.45	1.15	5	85	2.5	97.5
5	Cl-IIR: 0.80	50	50	SnCl <sub>4</sub> : 4.00	-	1.15	5	100	60.0	40.0
6	Cl-IIR: 0.92	50	50	SnCl <sub>4</sub> : 10.70	0.70	1.15	6	93	3.5	96.5
7	-	100	-	BCl <sub>3</sub> : 9.66	-	2.30	5	88	-	-
7a	-	100	-	BCl <sub>3</sub> : 2.15	-	2.30	0.3	8	-	-
8	-	100	-	BCl <sub>3</sub> : 9.66	0.60	2.30	4	Negligible	-	-
9	CR: 0.79	90	10	BCl <sub>3</sub> : 8.60	-	2.30	5	98	42.0	58.0
10	CR: 1.06	90	10	BCl <sub>3</sub> : 11.00	0.60	1.15	3	90	10.0	90.0
11	Cl-IIR: 0.74	50	50	BCl <sub>3</sub> : 8.60	-	2.30	5	95	36.0	64.0
12	Cl-IIR: 0.92	50	50	BCl <sub>3</sub> : 8.00	2.02	1.15	4	96	2.5	97.5

TABLE 2. Characterization of CR-g-PaMeSt and IIR-g-PaMeSt

No.	$\bar{M}_B$ $\times 10^{-3}$	$X_b$ (overall) (%)	$\bar{M}_n$ (graft)		Free PaMeSt, $\bar{M}_n \times 10^{-3}$	b/B	
			Theoretical $\times 10^{-3}$	Experimental $\times 10^{-3}$			
3	CR-g-PaMeSt	140	23	182	170	6	6.9
4	"	140	30	200	180	10	6.0
5	IIR-g-PaMeSt	150	25	200	185	20	2.5
6	"	150	45	270	230	15	8.0
9	CR-g-PaMeSt	140	29	197	180	7	8.2
10	"	140	35	215	200	10	7.5
11	IIR-g-PaMeSt	150	40	250	220	17	5.9
12	"	150	30	210	200	6	11.0

adding  $\text{BCl}_3$  or  $\text{SnCl}_4$  coinitiator solutions to quiescent  $\text{Cl-IIR}/\alpha\text{MeSt}/\text{DtBP}$  or  $\text{CR}/\alpha\text{MeSt}/\text{DtBP}$  systems in suitable solutions at  $-50^\circ\text{C}$ . Tables 1 and 2 show synthesis conditions and characterization data, respectively. Experimentation started with "control" runs, i.e., runs in which  $\text{SnCl}_4$  or  $\text{BCl}_3$  solutions were added to  $\alpha\text{MeSt}$  in the absence or presence of  $\text{DtBP}$  (Experiments 1, 1a, 2, 7, 7a, and 8 in Table 1).

The relatively large amounts of  $\text{P}\alpha\text{MeSt}$  obtained in the absence of  $\text{CR}$  or  $\text{Cl-IIR}$  initiators (i.e., 20 and 100%, and 8 and 88%  $\text{P}\alpha\text{MeSt}$  at 20 min and 5 h in Experiments 1, 1a, 7, and 7a) are most likely due to initiation by a small amount of unscavengable proton source (in spite of high vacuum drying) followed by continuous unabated chain transfer to monomer. Initiation must be slow since conversions are relatively low after 20 min. Independent polymerization experiments carried out under "conventional" conditions, i.e., in open systems in a dry box under nitrogen, resulted in 100% conversions within 2-3 min (not shown in Table 1). The above 100% conversion results also indicate that the polymerization of  $\alpha\text{MeSt}$  under the conditions employed is "terminationless."

The results of Experiments 2 and 8 forcefully indicate the effect of  $\text{DtBP}$ : In the presence of proton trap, conversions remain negligible even after 5 h of reaction!

Grafting of  $\alpha\text{MeSt}$  from  $\text{CR}$  or  $\text{Cl-IIR}$  with  $\text{SnCl}_4$  or  $\text{BCl}_3$  in the absence of  $\text{DtBP}$  occurs readily (Experiments 3, 5 and 9, 11) and polymerizations go to completion. This is not too surprising considering the relatively long reaction times (3-6 h), highly reactive coinitiators, and the propensity of  $\alpha\text{MeSt}$  to undergo chain transfer. Since  $\alpha\text{MeSt}$  conversions were invariably 100% in these runs, termination must have been absent. Grafting efficiencies, however, were much less than 100% (i.e., ~40% with  $\text{Cl-IIR}/\text{SnCl}_4$ , 48% with  $\text{CR}/\text{SnCl}_4$ , 64% with  $\text{Cl-IIR}/\text{BCl}_3$ , and 58% with  $\text{CR}/\text{BCl}_3$ ) which indicates the operational presence of chain transfer in these systems.

Graft characterization data are compiled in Table 2. The close agreement between the theoretical and experimental molecular weights of graft copolymers (Columns 5 and 6) strongly suggest the absence of undesirable side reactions, e.g., chain scission of the backbone, cross-linking, during graft copolymerization. This conclusion is further substantiated by the GPC traces shown in Figs. 4 and 5: After grafting, the traces remained monomodal and the position of their maxima shifted toward lower elution volumes (higher molecular weights).

The effect of  $\text{DtBP}$  on grafting efficiency  $G_{\text{eff}}$  is seen by comparing results of Experiments 3 with 4, 5 with 6, 9 with 10, and 11 with 12. While  $G_{\text{eff}}$ 's remain relatively low in experiments carried out in the absence of  $\text{DtBP}$ ,  $G_{\text{eff}}$  approaches 100% in the presence of the proton trap. The fact that these results have been obtained after extremely long grafting times (3-6 h) should be emphasized: shorter grafting

times (< 20 min) would have led to much larger differences in  $G_{\text{eff}}$  as seen from the  $\alpha\text{MeSt}$  conversion data in Experiments 1a and 7a.

These results corroborate the basic proposition that DtBP intercepts the proton during chain transfer to monomer and thus prevents homopolymer generation.

At first glance the high  $\alpha\text{MeSt}$  conversions obtained in the presence of DtBP (Experiments 4, 6, 10, and 12) may appear unusual. Evidently the branches grow until chain transfer to monomer occurs, and since this event seems to arise only about once per kinetic chain ( $G_{\text{eff}}$  values obtained in the presence of DtBP are about a factor of two higher than those obtained in the absence of proton trap), the number of actively growing branches, even in the presence of DtBP, suffices to consume the available low amount ( $\sim 0.1\text{--}0.2\text{ M}$ ) of  $\alpha\text{MeSt}$ .

Great circumspect must be exercised when intercomparing the analytical data shown in Table 2, since many of the grafting experiments had to be necessarily carried out under somewhat different conditions dictated by the nature of the two different backbones and Friedel-Crafts acid coinitiators used. Some cautious generalizations can, however, be made: Grafting experiments in the absence of DtBP (Nos. 3, 5, 9, and 11) resulted in relatively low  $G_{\text{eff}}$  of 40–64% with 25–40%  $\text{PaMeSt}$  incorporation whereas in the presence of DtBP,  $G_{\text{eff}} = 90\text{--}97.5\%$  and 30–45%  $\text{PaMeSt}$  incorporation was obtained. The average b/B values for CR-g- $\text{PaMeSt}$  were 4.7 and 7.0, and for IIR-g- $\text{PaMeSt}$  7.0 and 8.7 in the absence and presence of DtBP, respectively.

#### ACKNOWLEDGMENTS

Acknowledgment is made to the donors of the Petroleum Research Fund, administered by the American Chemical Society, and the National Science Foundation (Grant 77-27618) for support of this research.

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